

PMOS EXHIBIT 5

PLAINTIFF'S 2011 EXCHANGES WITH
RYAN DIETZ RE MODERNA

luigi.warren@outlook.com

From: Ryan Dietz
Sent: Thursday, February 10, 2011 2:29 PM
To: lwarren@mit.edu
Subject: Assignment Document
Attachments: 20110210162424129.pdf; 20100928_Application_033393_067441_P.pdf

└─┘ └─┘ └─┘ 2
Luigi,

I wanted to provide an update and send along the attached assignment document seeking your signature and return to IDI.

This assignment pertains to a second provisional application which was filed immediately before publication to capture additional data that supports the claims. We did not alter inventorship or the scope of the inventive subject matter.

Attached please find the assignment document. Please sign in the presence of one other person who also must sign and date as a witness. Please return to me by scanned pdf or US mail to the address below.

Please let me know if you have any questions or concerns.

Regards,
Ryan

Ryan M. Dietz
Director, Office of Technology Development
Immune Disease Institute/Program in Cellular and Molecular Medicine at Children's Hospital Boston
CLSB - Third Floor
3 Blackfan Circle
Boston, MA 02115
t: 617.919.3048
c: 617.803.5430
email: dietz@idi.harvard.edu

luigi.warren@outlook.com

Sent: Sunday, February 13, 2011 3:39 PM
To: Ryan Dietz
Subject: Re: Assignment Document

I do have some initial questions. I heard that Flagship has already done a deal with you for a license to this IP. Is this correct? Was there an initial payout? Should I expect to see any \$\$\$ from this and, if so, what are the details?

-luigi

luigi.warren@outlook.com

From: Ryan Dietz
Sent: Wednesday, February 16, 2011 6:57 PM
To: luigiwarren@sprint.blackberry.net; lwarren@mit.edu
Cc: Halvorsen; Erik
Subject: RE: Assignment Document
Attachments: winmail.dat

Dear Luigi,

I look forward to providing the details of the agreement.

However, I must first receive the assignment document and attached CDA executed and returned. The CDA is necessary to protect the confidentiality of the terms of the agreement since you are no longer employed by IDI.

Please execute both the assignment document and the CDA and return to my attention as soon as possible. As previously mentioned when we discussed the prior assignment, you remain obligated to comply with these procedural steps by the policies of your employment with IDI.

Regards,

Ryan

Ryan M. Dietz

Director, Office of Technology Development

Immune Disease Institute/Program in Cellular and Molecular Medicine at Children's Hospital Boston

CLSB - Third Floor

3 Blackfan Circle

Boston, MA 02115

t: 617.919.3048

c: 617.803.5430

email: <mailto:dietz@idi.harvard.edu> dietz@idi.harvard.edu

From: luigiwarren@sprint.blackberry.net
[mailto:luigiwarren@sprint.blackberry.net]
Sent: Monday, February 14, 2011 10:39 AM
To: Ryan Dietz
Subject: Re: Assignment Document

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-luigi

Sent on the Sprint(r) Now Network from my BlackBerry(r)

From: "Ryan Dietz" <dietz@idi.harvard.edu>

Date: Fri, 11 Feb 2011 09:28:59 -0500

To: <lwarren@mit.edu>

Subject: Assignment Document

Luigi,

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3 Blackfan Circle

Boston, MA 02115

t: 617.919.3048

c: 617.803.5430

email: <mailto:dietz@idi.harvard.edu> dietz@idi.harvard.edu

luigi.warren@outlook.com

Sent: Wednesday, February 23, 2011 9:55 PM
To: Ryan Dietz
Subject: Re: Assignment Document

Ryan,

I'm sorry I was delayed in getting back to you but I've been very busy of late. I have some questions about the draft of the CDA and the Assignment. In the CDA, you state in the first paragraph that it will apply to information provided to me by IDI before the execution of the CDA. I don't understand what that might refer to and don't think I can agree blindly to such a blanket designation. In the second paragraph, I don't understand what you are proposing, concerning information acquired or developed by me, when you state that I will "inform IDI of that fact at or before the date its evaluation is complete." I also do not understand the last sentence of the second paragraph. Can you please explain?

I also have some questions about the Assignment. First, can you send me a signed copy of the initial assignment that you refer to in your email so that I can see how it compares with this one? In addition, can you send me copies of the documents containing the "policies of my employment" with IDI that you also refer to in your email? I will then get back to you with my comments on this draft Assignment.

As the inventor of the Technology, I am uniquely positioned to assist IDI in the development, refinement, etc. of the Technology. It is also the case that, as a matter of professional pride, I have much invested personally in the success of the Technology. However, it's also important to me that I be fairly compensated for all that I have done and am prepared to do in the future to ensure the success of the Technology. It is for that reason that I asked in my prior email about what financial return I might expect to see. It's important that I have some sense of what IDI is contemplating as we go forward. Please advise. Thank you.

Regards,

Luigi

P.S. Please note that my address has changed. It is now: 157 Sixth St. #605, Cambridge, MA 02142.

luigi.warren@outlook.com

From: Ryan Dietz
Sent: Sunday, March 06, 2011 9:55 PM
To: luigiwarren@sprint.blackberry.net
Subject: RE: Assignment Document
Attachments: 20100830092843319.pdf; 20110210162424129.pdf; CDA - IDI 08-011 - Luigi Warren (v2).doc

Dear Luigi,

Attached please find a revised CDA, which I believe addresses your concern. The language in the second paragraph is designed to ensure you're not limited in the use of information you created or properly accessed from another source.

Also attached are the previous executed assignment and the current document that requires your signature. As you can see they include the same language.

For your information, I am also attaching the pertinent language in the IDI policy below.

Ownership of Inventions. Each Invention shall be the sole and exclusive property of IDI. I agree to execute an assignment to IDI or its nominee of my entire right, title and interest in and to all Inventions (to which IDI has ownership rights pursuant to the "Research and Technology Development Policy") without additional compensation. I further agree, upon request of IDI and at its expense, to execute such documents as may be necessary or desirable in applying for and obtaining patents on the Inventions in the United States and any foreign country. I further agree, whether or not I am employed by IDI, to cooperate to the extent and in the manner reasonably requested by IDI in the prosecution or defense of any claim involving a patent covering any Invention or any litigation or other claim or proceeding involving any Invention, but all expenses thereof shall be paid by IDI. All records which I shall use or prepare or come into contact with in the course of working for IDI, shall remain IDI's sole and exclusive property and shall be subject to the agreement; except that I may retain for my own personal use one copy of all my scientific work papers customarily retained by researchers at non-profit institutions unless prevented by contractual obligations to third parties pertaining to confidential papers or trade secrets, which obligations prevent such retention of any such papers.

I look forward to receiving the executed CDA and Assignment. It is important that you return these promptly so that we may proceed with protecting the intellectual property. Further, once these are received I will provide you with additional information regarding licensing the technology. Please provide the executed CDA and Assignment document by March 21st 2011.

Regards,
Ryan

-----Original Message-----

From: luigiwarren@sprint.blackberry.net [mailto:luigiwarren@sprint.blackberry.net]
Sent: Thursday, February 24, 2011 4:55 PM
To: Ryan Dietz
Subject: Re: Assignment Document

Ryan,

I'm sorry I was delayed in getting back to you but I've been very busy of late. I have some questions about the draft of the CDA and the Assignment. In the CDA, you state in the first paragraph that it will apply to information provided to me by IDI before the execution of the CDA. I don't understand what that might refer to and don't think I can agree blindly to such a blanket designation. In the second paragraph, I don't understand what you are proposing, concerning information acquired or developed by me, when you state that I will "inform IDI of that fact at or before the date its evaluation is complete." I also do not understand the last sentence of the second paragraph. Can you please explain?

I also have some questions about the Assignment. First, can you send me a signed copy of the initial assignment that you refer to in your email so that I can see how it compares with this one? In addition, can you send me copies of the documents containing the "policies of my employment" with IDI that you also refer to in your email? I will then get back to you with my comments on this draft Assignment.

As the inventor of the Technology, I am uniquely positioned to assist IDI in the development, refinement, etc. of the Technology. It is also the case that, as a matter of professional pride, I have much invested personally in the success of the Technology. However, it's also important to me that I be fairly compensated for all that I have done and am prepared to do in the future to ensure the success of the Technology. It is for that reason that I asked in my prior email about what financial return I might expect to see. It's important that I have some sense of what IDI is contemplating as we go forward. Please advise. Thank you.

Regards,

Luigi

P.S. Please note that my address has changed. It is now: 157 Sixth St. #605, Cambridge, MA 02142.

Sent on the Sprint® Now Network from my BlackBerry®

-----Original Message-----

From: "Ryan Dietz" <dietz@idi.harvard.edu>

Date: Thu, 17 Feb 2011 13:56:55

To: <luigiwarren@sprint.blackberry.net>; <lwarren@mit.edu>

Cc: Halvorsen, Erik<Erik.Halvorsen@childrens.harvard.edu>

Subject: RE: Assignment Document

Dear Luigi,

I look forward to providing the details of the agreement.

However, I must first receive the assignment document and attached CDA

executed and returned. The CDA is necessary to protect the confidentiality of the terms of the agreement since you are no longer employed by IDI.

Please execute both the assignme

luigi.warren@outlook.com

Sent: Monday, March 07, 2011 6:39 PM
To: Ryan Dietz
Subject: Re: Assignment Document

Hi Ryan,

Thank you for sending the materials I requested. I will review the amended CDA. I have a follow-up question for you. Derrick Rossi once outlined to me IDI policies regarding payments to inventors on licensing royalties. Could you please send me the language specifying those policies?

Regards,

Luigi

luigi.warren@outlook.com

From: Ryan Dietz
Sent: Monday, March 07, 2011 10:15 PM
To: luigiwarren@sprint.blackberry.net
Subject: RE: Assignment Document

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 Luigi,

licensing revenues distributed under the IDI policy are split into thirds as described below. Since there are 2 inventors, the Inventor's share would be split between yourself and Derrick.

Distribution Schedule

Inventor	Inventor's Laboratory	IDI General Fund
33 1/3%	33 1/3%	33 1/3%

Regards,
 Ryan

From: luigiwarren@sprint.blackberry.net [mailto:luigiwarren@sprint.blackberry.net]
Sent: Tuesday, March 08, 2011 1:39 PM
To: Ryan Dietz
Subject: Re: Assignment Document

Hi Ryan,

Thank you for sending the materials I requested. I will review the amended CDA. I have a follow-up question for you. Derrick Rossi once outlined to me IDI policies regarding payments to inventors on licensing royalties. Could you please send me the language specifying those policies?

Regards,

Luigi

Sent on the Sprint® Now Network from my BlackBerry®

From: "Ryan Dietz" <dietz@idi.harvard.edu>
Date: Mon, 7 Mar 2011 16:54:34 -0500
To: <luigiwarren@sprint.blackberry.net>
Subject: RE: Assignment Document

Dear Luigi,

Attached please find a revised CDA, which I believe addresses your concern. The language in the second paragraph is designed to ensure you're not limited in the use of information you created or properly accessed from another source.

Also attached are the previous executed assignment and the current document that requires your signature. As you can see they include the same language.

For your information, I am also attaching the pertinent language in the IDI policy below.

Ownership of Inventions. Each Invention shall be the sole and exclusive property of IDI. I agree to execute an assignment to IDI or its nominee of my entire right, title and interest in and to all Inventions (to which IDI has ownership rights pursuant to the "Research and Technology Development Policy") without additional compensation. I further agree, upon request of IDI and at its expense, to execute such documents as may be necessary or desirable in applying for and obtaining patents on the Inventions in the United States and any for

luigi.warren@outlook.com

Sent: Monday, March 07, 2011 10:26 PM
To: Ryan Dietz
Subject: Re: Assignment Document

Thanks, Ryan. Can you direct me to the document where all the policies are spelled out, or send me a copy?

Luigi

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Sent: Tuesday, March 08, 2011 5:26 PM

To: Ryan Dietz

Subject: Re: Assignment Document

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Luigi

Sent on the Sprint® Now Network from my BlackBerry®

From: "Ryan Dietz" <dietz@idi.harvard.edu>

Date: Tue, 8 Mar 2011 17:15:23 -0500

To: <luigiwarren@sprint.blackberry.net>

Subject: RE: Assignment Document

Luigi,

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From: "Ryan Dietz" <dietz@idi.harvard.edu>
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To: <luigiwarren@sprint.blackberry.net>; <lwarren@mit.edu>
Cc: Halvorsen, Erik<Erik.Halvorsen@childrens.harvard.edu>
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Ryan M. Dietz

Director, Office of Technology Development

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3 Blackfan Circle

Boston, MA 02115

t: 617.919.3048

c: 617.803.5430

email: <<mailto:dietz@idi.harvard.edu>> dietz@idi.harvard.edu

From: luigiwarren@sprint.blackberry.net
[<mailto:luigiwarren@sprint.blackberry.net>]

Sent: Monday, February 14, 2011 10:39 AM

To: Ryan Dietz

Subject: Re: Assignment Document

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To: <lwarren@mit.edu>

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Ryan M. Dietz

Director, Office of Technology Development

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t: 617.919.3048

c: 617.803.5430

email: <<mailto:dietz@idi.harvard.edu>> dietz@idi.harvard.edu

luigi.warren@outlook.com

From: Ryan Dietz
Sent: Thursday, March 17, 2011 4:11 PM
To: luigiwarren@sprint.blackberry.net
Cc: Halvorsen; Erik; McCarthy; Dianne
Subject: RE: Assignment Document
Attachments: 20110210162424129.pdf

└─┘ └─┘ └─┘
Luigi,

Please be reminded that you are asked you to provide the executed Assignment document by March 21st (this coming Monday).

I have attached the document for your convenience.

Regards,
Ryan

From: Ryan Dietz [mailto:dietz@idi.harvard.edu]
Sent: Thursday, March 10, 2011 3:55 PM
To: 'luigiwarren@sprint.blackberry.net'
Cc: Halvorsen, Erik; McCarthy, Dianne
Subject: RE: Assignment Document

Luigi,

The IDI policies are not public documents. Provided below are portions of the document establishing your obligation to assign the application to IDI.

There is no basis for further delays of your duties to provide the assignment. If you would like to receive the terms of any agreements that have been completed relating to this technology, please also execute and return to me the Confidentiality Agreement.

Please note, IDI has the right to withhold amounts due to inventors if we do not receive cooperation on this matter by the date provided. I am copying Erik Halvorsen Director of TIDO and Dianne McCarty Chief General Counsel of CHB on this email.

Regards,
Ryan

C. SCOPE

This Policy applies to any invention, discovery, data, writing, and other intellectual property, including trade or service marks, works-for-hire, know-how, computer software and biological materials, whether or not patentable, copyrightable or otherwise entitled to legal protection (collectively "Invention") made, conceived, reduced to practice or generated by a full or part-time professional staff, faculty, employee of, student, trainee, or person or entity otherwise engaged at IDI, such as consultants or agents retained by IDI (collectively "**Covered Person**"). Any Invention that (1) either has potential commercial value or is

patentable, copyrightable, or otherwise entitled to legal protection, and (2) is made, conceived, reduced to practice or generated by a Covered Person while engaged in activities: (a) for which the Covered Person received financial support from or through IDI; (b) during which the Covered Person made signi

luigi.warren@outlook.com

Sent: Thursday, March 17, 2011 10:43 PM
To: Ryan Dietz
Cc: Halvorsen; Erik; McCarthy; Dianne
Subject: Re: Assignment Document

Ryan,

I apologize for the delay in my response, but I have a full plate and I had to give this matter considerable thought.

I do not see how unpublished IDI policies can obligate me to enter into the proposed assignment contract now, long after I resigned from my at-will employment at IDI. Further, the crucial element of consideration is missing from the contract. Past consideration, viz. salary payments to me during my time at IDI, cannot constitute consideration for a contract entered into in the present. Policies are not promises, especially when referenced only outside a contract and represented divorced from any context defining their discretionary aspects, caveats and limitations. This is particularly relevant in the current instance, owing to the non-conventional approach being adopted for the disposition of the mRNA reprogramming IP (the Flagship/Moderna deal). What if I "open the box" and find that IDI has exchanged control or even ownership of the IP for cash or equity, in lieu of royalties? What would IDI "policies" say about my compensation then? I do not know, and have no way of knowing. Normally, one does not expect to have to sign a contract before one can be allowed to find out the nature of the consideration being proffered, or even if there is any consideration. At this point, and given the history, I do not feel comfortable acceding to your request.

Regards,

Luigi